

## Fee Schedule for Brokerage Accounts and Managed Accounts

**PLEASE READ CAREFULLY**

This schedule contains information about the fees and charges that apply to your account and your transactions. Please note that the fees and other information are subject to change without notice.

<b>ANNUAL ACCOUNT FEES</b>	Brokerage Account <sup>1</sup>	\$50 — How to avoid this fee: Be a Chase Private Client or have \$25,000+ in combined investment balances or generate \$50 in commissions in your account during a calendar year
<b>ADMINISTRATIVE FEES</b>	Brokerage Account Transfer and Termination	\$75 — Applies when all assets are transferred out of the account
	Retirement Account Transfer and Termination	\$75 — Applies when all assets are transferred out of the account
	Trade Confirmation Fee <sup>1</sup>	\$3 per confirm — This fee does not apply to Chase Private Clients or clients who enable electronic confirmations <sup>2</sup>
	Overnight Express Mail	\$10 per confirm — This fee does not apply to Chase Private Clients
	Wire Transfer <sup>3</sup>	\$25 per wire — This fee does not apply to Chase Private Clients
	Stop Payments	\$30 per item — This fee does not apply to Chase Private Clients
	Debit Balance Interest due to fees, pre-payment, late payment and other miscellaneous charges above free cash available. Does not apply to Retirement Accounts.	Prevailing Margin Rate <sup>4</sup> • \$0 to \$25,000: Prime + 4.75% • \$25,001 to \$50,000: Prime + 4.50% • \$50,001 to \$100,000: Prime + 4.00% • \$100,001 to \$500,000: Prime + 3.75% • \$500,001 to \$1,000,000: Prime + 3.00% • \$1,000,001 to \$3,000,000: Prime + 2.50% • \$3,000,001 to \$10,000,000: SOFR + 2.35% • \$10,000,001 and above: SOFR + 1.85%
<b>PHYSICAL CERTIFICATE FEES</b>	Safekeeping	\$10 per item, per month — This fee does not apply to Chase Private Clients

All of the above fees will be charged to either your linked bank account or brokerage account.

Other fees and charges may apply including, but not limited to, fees intended to offset fees charged by certain regulatory bodies, and costs for foreign currency transactions, foreign clearing charges and safekeeping, ADR related fees and other fees JPMS may incur as a result of servicing your investment account.

**Note: Additional Foreign Security Fees may be charged as incurred from agent banks.**

<sup>1</sup> Fees do not apply to managed accounts. Other exclusions may apply.

<sup>2</sup> Please access your account at [chase.com](https://www.chase.com) or via the Chase Mobile® app for information and instructions on how to go paperless. Chase Mobile® app is available for select mobile devices. Message and data rates may apply.

<sup>3</sup> This fee does not apply to internal wire transfers.

<sup>4</sup> The annual rate of interest that you will be charged on any U.S. dollar-denominated credit is based on either the Prime interest rate (Prime) or the Secured Overnight Financing Rate (SOFR). The Prime interest rate is an important index used by banks to set rates on many consumer loan products, such as credit cards or auto loans. The Prime interest rate will move up or down in lockstep with changes made by the Federal Reserve Board. SOFR is a benchmark for dollar-denominated derivatives and loans. SOFR is based on transactions in the Treasury repurchase market.

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**INVESTMENT AND INSURANCE PRODUCTS ARE:**

- NOT FDIC INSURED • NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY
- NOT A DEPOSIT OR OTHER OBLIGATION OF, OR GUARANTEED BY, JPMORGAN CHASE BANK, N.A. OR ANY OF ITS AFFILIATES
- SUBJECT TO INVESTMENT RISKS, INCLUDING POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED

## Commission Schedule for Brokerage Accounts

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<b>STOCKS AND EXCHANGE-TRADED FUNDS</b>	1% of principal. Minimum commission of \$25 may apply to stock and ETF trades.								
<b>STOCKS AND ETFS VIA CHASE.COM</b>	\$0.00								
<b>OPTIONS<sup>1</sup></b>	<table border="1"> <thead> <tr> <th>Premium Price</th> <th>Fee Per Contract</th> </tr> </thead> <tbody> <tr> <td>\$0.01–\$0.49</td> <td>\$1.00 per contract</td> </tr> <tr> <td>\$0.50–\$0.99</td> <td>\$2.00 per contract</td> </tr> <tr> <td>\$1.00 and over</td> <td>\$4.00 per contract</td> </tr> </tbody> </table> <p>Minimum commission of \$25 may apply to option trades.</p>	Premium Price	Fee Per Contract	\$0.01–\$0.49	\$1.00 per contract	\$0.50–\$0.99	\$2.00 per contract	\$1.00 and over	\$4.00 per contract
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<b>OPTIONS VIA CHASE.COM<sup>1</sup></b>	\$0.65/Contract								
<b>FIXED INCOME</b>	Fixed income securities are typically purchased on principal basis and are subject to a markup (if you are a buyer) or markdown (if you are a seller) charged by J.P. Morgan Securities LLC (JPMS). Transactions involving municipal securities in which JPMS cannot determine a fair price may be charged a commission as opposed to a markup or markdown. Your advisor can provide you with the markup, markdown or commission charged on fixed income securities.								
<b>UNIT INVESTMENT TRUSTS AND VARIABLE INSURANCE</b>	Read your prospectus for complete details regarding the sales loads, surrender charges and other fees for such products. There are no additional transaction fees applied for purchases or redemptions of such products.								
<b>STRUCTURED NOTES</b>	For new issues, read your offering documents for complete details on the offering price, which includes a selling concession. In cases where structured products are called before maturity, fees are not rebated.								
<b>MUTUAL FUNDS<sup>2</sup></b>	Read your prospectus for complete details regarding the sales load, redemption fees and other fees for such products. There are no additional transaction fees applied for purchases or redemptions of such products.								
<b>MARGIN</b>	<p>Prevailing Margin Rate<sup>3</sup></p> <ul style="list-style-type: none"> <li>• \$0 to \$25,000: Prime + 4.75%</li> <li>• \$25,001 to \$50,000: Prime + 4.50%</li> <li>• \$50,001 to \$100,000: Prime + 4.00%</li> <li>• \$100,001 to \$500,000: Prime + 3.75%</li> <li>• \$500,001 to \$1,000,000: Prime + 3.00%</li> <li>• \$1,000,001 to \$3,000,000: Prime + 2.50%</li> <li>• \$3,000,001 to \$10,000,000: SOFR + 2.35%</li> <li>• \$10,000,001 and above: SOFR + 1.85%</li> </ul>								

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<sup>1</sup> Options involve a high level of risk and are not suitable for all investors. Certain requirements must be met to trade options through J.P. Morgan. Investing involves risks, including loss of principal. Please read the Options Disclosure Document titled [Characteristics and Risks of Standardized Options](#) before considering any options transaction. Supporting documentation for any claims or statistical information is available upon request.

<sup>2</sup> Investors should carefully consider the investment objectives and risks, as well as charges and expenses of the mutual fund before investing. To obtain a prospectus, contact your Investment Representative or visit the fund company's website. The prospectus contains this and other information about the mutual fund. Read the prospectus carefully before investing.

<sup>3</sup> The annual rate of interest that you will be charged on any U.S. dollar-denominated credit is based on either the Prime interest rate (Prime) or the Secured Overnight Financing Rate (SOFR). The Prime interest rate is an important index used by banks to set rates on many consumer loan products, such as credit cards or auto loans. The Prime interest rate will move up or down in lockstep with changes made by the Federal Reserve Board. SOFR is a benchmark for dollar-denominated derivatives and loans. SOFR is based on transactions in the Treasury repurchase market.

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